FINAL REPORT

HISTORICAL BACKGROUND DOCUMENTATION RESEARCH FOR THE LOCK HAVEN FLOOD PROTECTION PROJECT CLINTON COUNTY, PENNSYLVANIA

By: Scott D. Heberling and Philip T. Fitzgibbons

A Report Prepared by
Vendel Enviro-Industrial Consultants, Inc.
1720 Larsen Drive
Pittsburgh, Pennsylvania 15243

and



Submitted to
International Technology Corporation
165 Fieldcrest Avenue
Edison, New Jersey 08818

in

Partial Fulfillment of Contract Number DACW31-85-D-0006

Department of the Army
Baltimore District, Corps of Engineers
Planning Division
P.O. Box 1715
Baltimore, Maryland 21203-1715

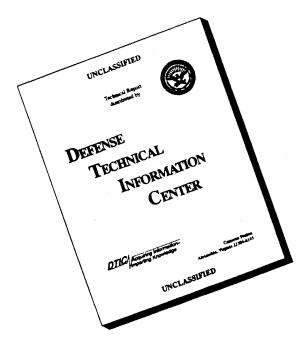
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Frank J. Vento, Ph.D. President - Co-Director

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PURPOSE OF HISTORICAL DOCUMENTATION STUDY

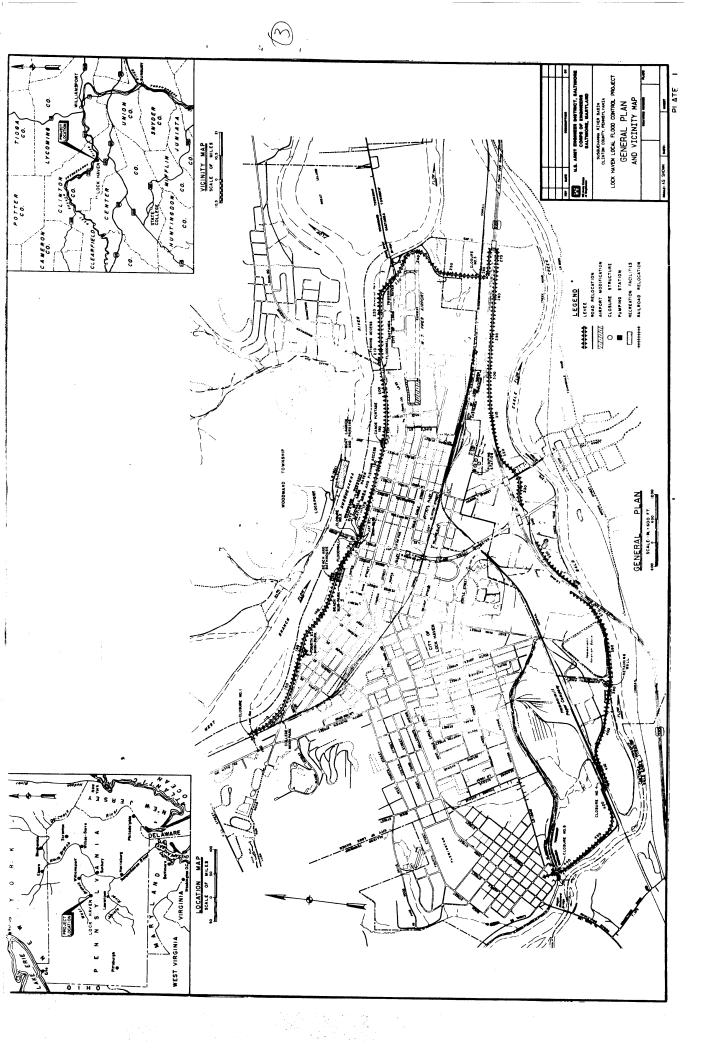
The purpose of this study is to define the focus and level of historical archaeological investigations that should be conducted in areas subject to adverse impacts from the proposed Lock Haven Flood Protection Project (Figure 1). It is designed to provide background information on historic patterns of settlement and socio-economic organization in Lock Haven and surrounding areas, and to define relevant historical research topics and questions on the basis of this information. The ultimate objective of the historical and archaeological research is to ensure that the Project does not adversely affect significant and National Register eligible sites, features, or artifactual materials which may contribute data necessary to the investigation of important historical research topics.

I historically-oriented background Phase studies archaeological field investigations should attempt to identify those areas to be adversely affected by Project implementation which possibly contain intact and significant cultural resources that are most likely to generate information bearing on historic Most actual data collection, research questions or topics. however, would not occur until Phase II or III levels of investigation, should they be required. Until Phase I survey, testing, and background research are completed, it will not be known what, if any, potentially significant cultural resources are present, or the extent to which historical research questions can be addressed with data gathered from the Project area, indeed, whether certain topics can be addressed at all.

Because much of the Projct study area is situated on the active modern T-1 flood plain terraces of the West Branch of the Susquehanna River and Bald Eagle Creek, there exists a potential for prior natural and man-made disturbance to historic cultural resources. Fluvial aggradation and degradation most recently associated with three major floods (ca. 1889, 1936, and 1972) as well as with several minor floods may have severely altered, if not, entirely destroyed, removed, or redeposited certain historic period cultural resources (i.e., including features, and structures). This appears especially artifacts, true for portions of Lower and Upper Lockport where flooding was extensive and very destructive. Post-flood property clean-up and as well as urban redevelopment resulted in the clearance removal, in-filling, and/or grading of several demolition, properties or groups of properties which today fall within the proposed Flood Protection Project's induced flood mitigation zone or road relocation/recreation area.

Overall, the modern flood plain in the Lock Haven region along both sides of the Susquehanna River has represented the most suitable area for residential habitation and commercial development since Euro-American occupation first began in the late 18th century. Much of this flood plain, especially in areas immediately adjacent to the river banks, has been the scene of almost continuous development and alteration resultant from

Figure 1. General View of the Lock Haven Flood Protection Project.



building, demolishing, backfilling, rebuilding, and/or grading and landscaping activities. All of these are intricately associated with the urban growth and industrialization of Lock Haven and its surroundings hinterlands. These often repeated episodes have undoubtedly resulted in the destruction, removal, or mixing of a number of historic period cultural resources representative of different cultural/temporal proveniences.

Adverse effects to historical resources as a result of urban growth of Lock Haven can be seen within the confines of the Project study area. Preliminary indications for the existence of considerable surficial and subsurface alteration from the earlier historic periods through the present decade are discussed in previously prepared Disturbance Report (Vendel Anticipated future Phase I historical survey and test excavations performed within the Lock Haven study area (i.e., the proposed levee/flood wall corridor and induced flooding mitigation zones) should facilitate more precise verification, documentation and delineation of the location, nature, and extent of previous disturbances.

Where appropriate, that is, in previously undisturbed or only minimally disturbed (i.e., some provenience data retained) portions of the Project study area, recommendations are offered for conducting Phase I historic archaeological investigations. In particular, specific areas, zones, or properties subject to and which are most likely to impact adverse archaeological evidence bearing on Lock Haven's historic patterns An archaeological research of development are identified. strategy that focuses on historical issues or topics of central importance to the growth and development of Lock Haven and its immediate surroundings will ensure that appropriate data will be recovered within the Project study area as a result of any future cultural resource management investigations. And, alternatively, that potentially significant cultural resources are not adversely affected as a result of the Lock Haven Flood Protection Project.

Historically oriented cultural resource investigations of the study area should focus primarily on documenting developments and changes which have occurred as a result of Lock Haven's 19th century urbanization and accompanying lumber industry growth (ca. 1840-1890). A closely related topic of importance pertains the effects of evolving transportation systems on Lock Haven and surrounding communties. Production and consumption patterns Lock Haven area residents were linked to local, regional, national markets first by overland routes and the river, then by Lock Haven proper came to occupy a canals and railroads. strategic position with regard to a variety of commercial activites centered west of Williamsport, Pennsylvania. particular, it was ideally situated for the exploitation of vast timber resources located along the West Branch of the Susquehanna River and its tributary rivers and streams.

From an historical perspective, the lumber industry was directly, as well as indirectly responsible for the course of the city's 19th and early 20th century development, profoundly influencing the processes of urbanization as well as the sociocharacter of the community, economic and in many establishing prevailing patterns of settlement and land-use. Many of these patterns persisted even after the industry's decline following the Flood of 1889, well into the century. Industries originally peripheral to the industry, such as the Hammermill Paper Plant predecessors, later became central to the local economy.

HISTORICAL RESEARCH METHODOLOGY

This cultural resource management report was prepared as a result of background research and in-the-field inspection of the Lock Haven Flood Protection Project study area. A variety potentially significant as well as previously significant structures, properties, and/or districts situated in Castanea Township, Flemington Borough, Lockport, and Lock Haven, Woodward Township were re-examined. Background research also attempted to identify any previously unexamined portions of the Lock Haven Flood Protection Project study area which may be historically sensitive (i.e., may contain potentially significant historical archaeological resources) and which may require Phase I field investigations. Pedestrian reconnaissance, preliminary documentary/archival searches, and informant interviews were conducted with local citizens and historical society members as with city and county officials over a 25 day period December, 1986.

This study is based largely on data derived from the manuscript census schedules of the federal government and from several nineteenth century city directories of Lock Haven and the surrounding area. The census manuscripts provide a wealth of socio-economic and demographic information for historians. especially the schedules for the years 1850 and 1870, particularily useful format was employed. Although there are certain methodological problems with using census data, because their accuracy and completeness were dependent upon the skill and conscientiousness of the individual enumerators, it is felt that the size of the present sample is large enough to minimize these problems. The city directories were useful sources for examining settlement patterns and for cross-checking the accuracy of certain census data.

Historic maps were also used extensively. The most important of these included maps by Walling (1862) and Richie and Stranahan (1869), as well as an extensive series of Sanborn insurance maps dating between 1885 and 1925. These were valuable in examining settlement patterns and industrial development in Lock Haven, Lockport, Flemington, and rural Woodward Township. The 1854 and 1872 views of Lock Haven reproduced in Wagner (1979:31 and 105) were useful supplements to these historic maps.

The Lock Haven Flood Protection Project has generated numerous cultural resource surveys during the past decade, and these contain a useful body of general historical information about the development of Lock Haven and the surrounding area. The reports by Deans (1979) and Deans and McMinn (1986), Israel (1979), and Dashiell et al. (1985) all were used in preparing portions of the present historical investigation. The architectural studies by Wagner (1979) and by the Clinton County Historic Sites Survey headed by Susan Hannegan also contained much useful information. Historical background research was further supplemented by extensive perusals of nineteenth century local published histories, especially those by Linn (1883), Maynard (1875), and Furey (1892).

The bulk of the documentary research was conducted at Annie Hallenbake Ross Library in Lock Haven, where the city directories, historic maps, and local histories were examined. The Clinton County Historical Society at the Heisey Museum in Lock Haven also was contacted for historical information. Hannegan of the Clinton County Planning Commission provided much of the Historic Sites Survey data. The manuscript census schedules are available on microfilm at the Ross Library, Penn State University's Pattee Library, and the Carneige Library Pittsburgh. The city ordinances were examined at the Lock Haven Municipal Building, and City Engineer Richard Marcinkevage was interviewed to obtain information on when municipal water and sewage services were made available to various sections of the city and surrounding communities. Finally, the Planning Division, Baltimore District, Corps of Engineers, who are the sponsors of the present study as well as previous archaeological and historical resources studies, made available all of the previous cultural resource management reports that have been completed in connection with the Lock Haven Flood Protection Project.

HISTORICAL OVERVIEW OF THE LOCK HAVEN STUDY AREA

The earliest Euro-American settlement in the Lock Haven area occurred just prior to the American Revolution and was limited to a few scattered farmsteads, mainly in the vicinity of These were given some measure of protection by Island. Reed", a fortified cabin thought to have been situated just west the Bald Eagle Cross-Cut Canal Lock near the present site of the Montour House (Linn 1883). Immigration to the Lock area did not begin in earnest until after the end of the American Revolution, following the disruption of the "Great Runaway". After the threat of Indian attack had subsided, the productive soils along the West Branch and the abundant timber resources attracted many Scotch-Irish and Germans from Pennsylvania, who settled on both sides of the West Branch in Allison and Woodward Townships (Linn 1883; Deans 1979). village grew up at "Old Town", but this consisted of only a few log cabins and a tavern near Fort Reed, on the south side of present-day Water Street, east of the Jay Street Bridge. At that time, Water Street was the major road passing through the The vast majority of (Maynard 1875; Furey 1892). settlement new settlers were farmers, who exploited the rich alluvial bottomland soils. One of the few surviving structures located within the Flood Protection Project's study area and associated with this early period of Lock Haven's history is the J. Stone Farmhouse (built ca. 1813) in the Upper Lockport area of Woodward Township.

Old Town and its hinterlands grew slowly through the first three decades of the nineteenth century, with subsistence farming as the predominate way of life. Lumbering already was becoming important, but at this stage the timber was rafted down the river, past Old Town to downstream markets at Williamsport or beyond to Sunbury. Contacts with other areas were maintained by river or overland travel which brought new settlers and manufactured goods and permitted the export of agricultural surpluses and timber.

The construction of the West Branch and Bald Eagle Cross-Cut Canals in the early 1830's was probably the single most important event in Lock Haven's historical development. For the first time there was a reliable means of transporting timber to local markets, and the Dunnstown Dam built in 1833 created a slackwater pool where log rafts could be stored while the raftsmen patronized local stores, hotels, taverns, and other businesses that soon appeared to cater to their needs. The creation of the West Branch Canal also made it much easier for settlers and manufactured goods to be brought upriver from the east, while the Cross-Cut Canal running from Bald Eagle Creek north and east to the West Branch facilitated the procurement of goods and materials from areas located to the south and west of Lock Haven (Miller 1966 and Dashiell et al. 1985).

Beginning in the 1830's, Lock Haven and the surrounding settlements tended to develop somewhat differently from one

another. Therefore, the historical growth and development of these areas are best discussed and described separately.

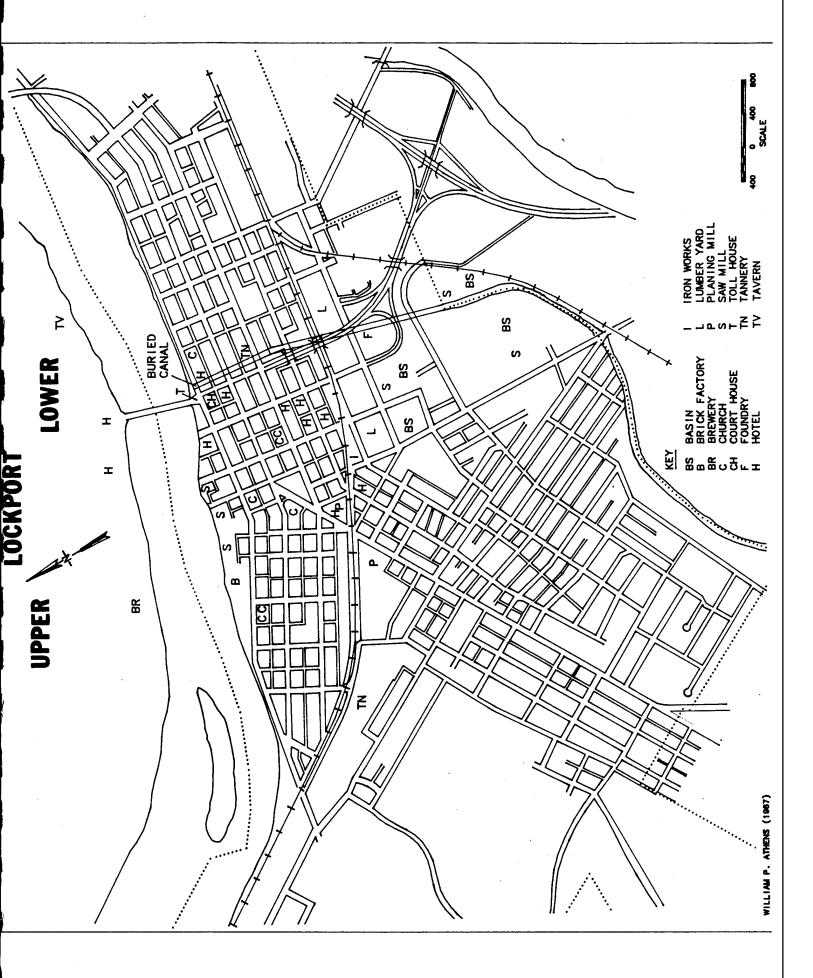
Lock Haven Borough

The town that was laid out on the site of Old Town by Jerry Church in 1833 and renamed Lock Haven basically encompassed the original first settlement area along with an adjacent tract situated to the south. The new town was centered around the Bald Eagle Cross-Cut Canal along the banks of the Dunnstown Dam's slackwater pool (Miller 1966). For nearly 30 years the Water Street area was the primary commercial district, as well as being the earliest residential section. Growth was rapid, especially after Lock Haven was named the county seat of Clinton County in 1844 (Linn 1883). Expansion occurred almost exclusively to the west and southwest of the original plat of Jerry Church's, while areas to the east and southeast continued to be used only as farmland (Walling Map 1862).

The completion of the West Branch Boom in 1849 was second most important event in Lock Haven's developmental history. Although it signalled the beginning of the end for the rafting era on the West Branch, the Boom was directly responsible the growth of the lumber processing industry in Lock Haven (Linn 1883; Miller 1966). Within only a few years, three steampowered sawmills had been built along the southern bank of West Branch near the Boom itself, while others were constructed at a slightly later date along the banks of the Bald Eagle Cross-Cut Canal (Linn 1883). A host of related industries eventually appeared, such as planing mills, furniture factories, and a sash and door factory. These industries employed hundreds of skilled workers and unskilled laborers, while creating the fortunes of Lock Haven's leading families. In addition, a large number of hotels and retail establishments appeared to serve and supply the basic needs of lumbermen, transient workers, and residents alike (Dashiell et al. 1985) (Figure 2). An influx of newcomers initiated a building boom that by necessity required the services of many carpenters, masons, and other members of the building Many of Lock Haven's finest houses date to the 1850's (Wagner 1979). In short, the West Branch Boom, by making Lock Haven one of the foremost lumbering towns along the Susquehanna River, ushered in the town's "golden period", which lasted for approximately 40 years (ca. 1850-1890) and which featured a degree of growth, development, and prosperity unsurpassed before or since.

During this "golden period" expansion continued but was confined largely to the west and southwest, especially along Clinton Avenue (now Bellefonte Avenue) and to areas west of Mill Street. Major additions to the town were made successively in 1841, 1852, 1853, 1856, 1859, 1860, 1863, 1866, 1868, and 1869 (Linn 1883). It was in the Third Ward, especially on Water and West Main Streets, that most of the leading merchants and manufacturers built impressive new homes during the 1850's.

Figure 2. Distribution of Various Hotels, Churches, Govt. Offices, Businesses, and Industries in Lock Haven and Lockport ca. 1860-1870.



events apparently precipitated a shift in the commercial district away from its original Water Street/Grove Street nucleus southward toward Main Street and Clinton Avenue. first was when the railroad reached Lock Haven in 1859, the Philadelphia and Erie tracks were extended from Sunbury; five years later a western rail link was completed. Businesses began to relocate near the rail line on Clinton Avenue. The second event occurred in 1862, when almost the entire business district along Water and Grove Streets was leveled by the most disastrous fire in the town's history (Wagner 1979). This latter event served to complete the southward shift of commercial probably After the mid-1860's new industries tended to be interests. located in the southern portions of the city, near the railroad as well as near the basins constructed along both sides of Cross-Cut Canal (Walling Map 1862; Richie and Stranahan Map 1869; and Sanborn Map 1885).

Haven's population exploded during this increasing from only 830 in 1850 to 3,349 in 1860 and 6,986 (Linn 1883). Most of the increase was due to immigration from other outside areas, as the annexation of Flemington Borough and the rest of Allison Township in 1870 had only a relatively An abundance of data from archival sources and minor effect. and city records makes it possible to construct a sociocounty economic profile of Lock Haven about the year 1870. This represents a critical point in the evolution of Lock Haven before the beginning of the lumber industry's decline of the late 1890's and early 1900's. The 1870 census manuscripts, the 1874-75 city directory, and a fairly detailed 1869 map provide a valuable overlapping corpus of data about the town's prosperous and dynamic period.

Ethnically, the city's population was relatively homogeneous Of all adult males listed in the census, 76.5% were native born whites, and about 90% of these persons had been born These percentages are virtually identical Pennsvlvania. those for 1850, indicating considerable continuity over time (see Tables 1 and 2). The vast majority of native born whites not from Pennsylvania were from New York or Maine. Approximately 23.2% of all adult males were foreign born in 1870; this is up from approximately 17% in 1850. Of all the persons of foreign national birth in 1870, over half of these were Germans, with 32%), Canadians smaller numbers of Irish (ca. (ca. 5%), Previously in 1850, the only significant (ca. 4%). English Between 1850 and 1870, foreign born group had been the Germans. the percentages of native blacks in the population of Lock Haven declined significantly, from approximately 5% to 1%. Blacks as ethnic group appear never to have represented an important constituent within the city.

For several of these groups, preliminary data on ethnicity seems to correlate to a certain extent with occupation and economic status (see Table 3). Blacks apparently worked almost exclusively as domestics or unskilled laborers, while Irish were most likely to be employed as laborers. Germans and members of

	I	ock Hav	en Borough	Allison	Township	Woodward	Township
Native-Born Whites	Penna. New York Maine New Hampshire Vermont Mass. New Jersey Delaware Connecticut Total	150 7 0 3 2 1 1 0 165	77. 5%	91 2 0 0 0 0 1 0	90 . 4%	65 5 8 0 0 0 0 1 1 80	63.0%
	Blacks	11	5.1%	1	1.0%	3	2.3%
Foreign-Born	Germany Ireland Scotland England Wales Canada Switzerland Total	30 2 0 4 0 1 0	17.4%	3 5 0 1 0 0 0	8.7%	26 5 6 2 1 0 4	34.7%
	POTAL:	213	100.0%	104	100.0%	127	100.0%

Table 1 : Place of Birth of Males 18+ Years Old, 1850. Source: U.S. Census.

	<u> </u>		Lock	Haven B	orough			T
		First Ward	Second Ward	Third Ward	Fourth Ward	Fifth Ward	Total	Woodward Township
Native-Born Whites	Penna. NY Maine Md. NJ Mass. Va. Conn. Ohio Mich. Other Total	295 10 6 6 2 4 0 0 0 1 1 325	341 30 12 5 3 1 2 2 4 0 0	280 21 9 1 0 0 1 2 1 0 2	247 23 16 1 3 0 1 1 0	250 4 1 1 0 1 2 0 0 0 0	1413 88 44 14 8 6 6 5 5 2 3	182 8 13 0 3 0 0 1 0 0 1
	Blacks	٥	.4% 71.2% .0% 13 2.3%		3* 74.2 3% 2 0.5			70.3%
Foreign-Born	Germany Ireland Canada England Switz. Scotland France Wales Other Total	10 0 3 10 1 1 3 0 0	97 29 11 8 1 0 0 2 149	19 32 9 3 1 3 1 0 69	25 67 2 2 1 2 0 1 0	11 10 1 1 0 2 0 0 0	246 148 23 17 13 9 4 2 2	21 12 7 3 42 0 2 0 1
T	OTAL:		.08 562 100.08				2083 100.0%	296 100.0%

Table 2 : Place of Birth of Males 18+ Years Old, 1870. Source: U.S. Census.

Occupational Group	Native	Native Whites	BI	Blacks	Gen	Germans	Irish	sh	English	1sh	Sw	Swiss	Cana	Canadians	
	#	5%	#	38	#	8	#	8	#	39	#	86	#	pe	
Upper White-Collar	168	15.1	'	1	16	6.6	77	3.1	+	6.3	t	1	8	11.8	
Lower White-Collar	162	14.5		ı	45	22.3	2	3.9	-1	6.3	₽ 1	8.3	1	ı	
Skilled	364	32.7	₩.	7.7	72	35.6	15	11.5	~	43.8	9	50.0	-1	5.9	
Semi-Skilled	ま	8.4	4	30.8	15	7.4	9	9.4	~	6.3	i	1		ı	
Unskilled	262	26.2	8	61.5	去	26.7	%	73.9	9	37.5	2	41.7	13	76.5	
Farmers	77	2.2	Ļ	ı	ı	ı	₩.	ω.	ı	1	ı	i		5.9	
Unclassified	10	6.	ı	1	ı	ı	3	2.3			ı .	ı	1	ı	
Subtotal 1114	1114	100.0%	13	100.0%	202	100.08	130	109.08	16	100.08	12	100.0%	17	100.08	
No Occupation Listed	0847		12		#		18		7		₩.		9		
TOTAL, MALES 18+	1594		25		9472		148		17		13		23		
															+

Table 3 : Occupational Status of Selected Ethnic Groups, Lock Haven Borough: 1870. Source: U.S. Census.

other groups were common at all but the highest occupational levels (which were completely dominated by native whites), but they tended to be concentrated in the skilled occupations and in the retail trade. Appendix A lists the specific occupations included within each general occupational category; the classification system used here generally follows that employed by Thernstrom, one of the pioneers in this sort of socio-economic analysis (Thernstrom 1973).

The various nationalities also tended to be clustered in different areas of the city, as well as in different occupational groups. In 1870, nearly 80% of all Germans lived in the First and Second Wards, while the Irish were concentrated in the Fourth Ward. Similar patterns can be observed for the minor ethnic groups as well, such as the English, Swiss, and Canadians.

Not surprisingly, the census records indicate that there was direct correlation between occupation and economic level, contrast between the upper and lower extremes is the most Table 4 lists Lock Haven's most important occupations, dramatic. indicated by the census records, together with the average value of property owned by the individuals engaged in The highest economic or income level is represented occupation. 37 lumber dealers and manufacturers, who averaged \$40,052 worth of real and personal property and by 40 merchants, who averaged \$21,249 worth of property. The lowest stratum consisted 419 unskilled laborers and 10 saw filers, who had average property holdings of only \$639 and \$465, respectively. There was apparently a distinct hierarchy of occupational groups when ranked by the average value of their real and personal property. Thus, it appears that Lock Haven was a highly stratified society 1870, with some of the most extreme examples of economic and occupational stratification occurring in the lumber and related industries. Although there were some exceptions, it appears that an individual's economic position generally can be estimated with considerable accuracy on the basis of his occupation.

Table 5 indicates that over half of all adult males, most of whom were employed in lumber-related jobs, were listed in the census as owning under \$100 worth of property, with many owning nothing at all. At the same time, a relatively small elite group lumbermen, upper level professionals, and large-scale merchants controlled the bulk of the Lock Haven's wealth. composed mainly of smaller also was a fairly large group, lower level professionals, and a few of the more merchants, prosperous skilled workers, who owned between \$1,000 and \$5,000 worth of property -- enough to be considered relatively affluent. Table 5 indicates that there were significant differences in the economic hierarchy among various wards in this city. example, the Third Ward, which included most of the West Water Street and West Main Street areas, had by far the largest percentage of its workers in the upper economic levels, while the Fifth Ward, which included Flemington, was characterized by relatively few individuals in the very highest categories, and a high percentage in the \$500-5,000 range. There also were

Occupation	# of Individuals	Ave.Value Real Property	Ave.Value Personal Property	Ave.Value Total Property
Lumbermen*	37	\$ 24,978	\$ 15,074	\$ 40,052
Unspec.Merchants	40	9,378	11,871	21,249
Attorneys	18	7,867	4,188	12,054
Hotel Keepers	17	9.025	2,869	9.071
Grocers	14	3,414	4,414	7,829
Cabinet Makers	11	3,391	3,564	6,955
Butchers	12	3,417	1,240	4,656
Plasterers	13	3,100	469	3, <i>5</i> 69
Shoemakers	41	1,605	867	2,472
Tailors	19	1,826	526	2,353
Carpenters	102	1,270	468	1,738
Sawyers	21	1,462	22 8	1,690
Boatmen/Raftmen	25	632	694	1,326
Painters	17	912	409	1,321
Blacksmiths	27	791	506	1,296
Masons, Etc.	3 8	766	314	1,080
l'inners	10	670	255	925
Bookkeepers	10	700	140	<i>9</i> €9 840
Teamsters, Etc.	24	195	592	788
Elerks	37	541	231	772
aborers	419	513	127	639
aw Filers	10	250	215	465
armers	20	10,039	3,307	13,346

Table 4: Occupations Ranked by Average Value of Property Owned, Lock Haven Borough, 1870. Source: U.S. Census

^{*}The category of "lumberman" as used by the census enumerators included a variety of occupations. The individuals included as Lumbermen in this table were lumber dealers or lumber manufacturers, as determined from city directories.

ECONOMIC HIERARCHY: LOCK HAVEN BOROUGH, MALES 18+, 1870 Table 5 :

Real and Personal PROPERTY VALUE	LOCK FIRST	LOCK HAVEN FIRST WARD	LOCK B SECONI	LOCK HAVEN SECOND WARD	LOCK THIRD	LOCK HAVEN THIRD WARD	LOCK FOURT	LOCK HAVEN FOURTH WARD	LOCK	LOCK HAVEN FIFTH WARD
\$ 0- 100	222	49.7	332	60.5	193	52.2	192	9.84	134	47.2
101- 500	71	15.9	52	9.5	59	7.8	43	10.9	28	6.6
501-1000	30	6.7	28	5.1	17	9.4	27	6.8	27	9.5
1001-5000	92	50.6	61	11.1	52	14.1	92	23.3	77	27.1
5001-10,000	14	3.1	23	4.2	25	6.8	23	5.8	6	3.2
10,001-20,000	12	2.7	25	9.4	19	5.1	6	2.3	9	2.1
20,001+	9	1.3	28	5.1	35	9.5	6	2.3	ς,	1.1
	244		£		370		395		787	

ECONOMIC HIERARCHY: LOCK HAVEN, LCCKPORT, AND WOODWARD TOWNSHIP, MALES 18+, 1870 Table 6

WOODWARD TOWNSHIP	#	121 52.8	36 15.7	19 8.3	41 17.9	7 3.1	2 .8 .	3 1.3	229	
LOCKPORT	#	35 45.5	12 15.6	10 13.0	15 19.5	2 2.6	2 2.6	1 1.3	. 22	
LOCK HAVEN ALL WARDS	#	1073 52.5	223 10.9	129 6.3	374 18.3	9.4 46	71 3.5	81 4.0	2045	2
Real and Personal PROPERTY VALUE		\$ 0- 100	101- 500	501- 1000	1001- 5000	5001-10,000	10,001-20,000	20,001+		* Source: U.S. Census

significant differences among Lock Haven residents as a whole, and Lockport and the rest of Woodward Township along the opposite bank of the Susquehanna River with the latter two areas apparently less affluent from an occupational and wealth accumulation perspective (see Table 6).

The composition of Lock Haven's economic elite can be examined in Table 7, which lists the city's wealthiest individuals in 1870, according to the available census data. It is immediately obvious that most of these were lumbermen, including most of the owners of the local mills; other members of the elite were merchants and upper level professionals. Nearly all of these persons lived in or adjacent to the Third Ward of Lock Haven.

Interestingly, there were clear differences in the tendencies of various occupational groups to invest their economic resources in either real estate or personal property. These contrasting tendencies have important archaeological implications in that these differences should be reflected in building and construction styles as well as in the nature and types of material goods discarded.

It has been noted that there was a general tendency residence clustering of ethnic groups, but there was an even greater degree of clustering by occupation and income level which often cross-cut nationality differences (Figures wealthiest families in Lock Haven, including lumbermen, bankers, the more prosperous merchants, and many professionals were living primarily in the Third Ward, especially along West Water and West Smaller retail merchants and clerks tended to Main Streets. cluster near the business district, with shopkeepers often living above or next to their stores. Skilled workers could be found in most areas, but were the most concentrated along the fringes of the business and industrial district. Unskilled manual laborers displayed a most interesting distribution in their residential Typically, they were encountered in a dispersed patterns. pattern almost exclusively along the town's periphery, especially to the east and south.

It appears that economic level was a much more important determinant of residence than was the place of work. example, workers described as boatmen or log-drivers in the city directories were not clustered along the river as might be expected, but were concentrated in the First Ward at various distances from the River. Similarly, workers described planing mill hands, stone cutters, tanners, and sawyers were not necessarily clustered near their respective industries. they lived in several different neighborhoods with other workers of various occupations, often at some distance from their Apparently, in a small community such as Lock Haven, workplace. there were more important factors that determined a worker's place of residence than merely proximity to his place of work.

Name	Occupation	Real Property	Personal Property	Total Property	
Mrs. A. Simons	Merchant	\$ 48,600	\$ 270,000	\$ 318,600	
William Simpson	Lumberman	95,000	114,500	209,500	
Philip Price	Gentleman	150,000	55,000	205,000	
L.A. Mackey	Banker	60,000	97,000	157,000	
Simon Scott	Merchant	141,000	10,000	151,000	
R.R. Bridgens	Lumberman	55,000	95,000	150,000	
Robert Cook	Lumberman	86,000	43,000	129,000	.
Mary Eldred	Keeping House	120,000	1,800	121,800	
David Carskadden	Lumberman	100,000	18,000	118,000	
Reuben Winslow	Lumberman	100,000	10,000	110,000	
Proctor Myers	Farmer	70,000	40,000	110,000	l
Warren Martin	Lumberman	44,500	60 ,0 00	104,500	
Abraham Best	Lumberman	52,500	52,000	104,500	1
Shaffer Johnson	Lumberman	69,000	11,000	80,000	
Samuel Fredericks	Lumberman	56,000	20,400	76,400	
Phaon Jarrett	Civil Engineer	50,000	25,000	75,000	
J. McWilliams	Agriculturalist	75,000		75,000	
Edward Worth	Lumberman	52,000	20,000	72,000	
O.D. Satterlee	Lumberman	65,000	1,000	66,000	İ
William White	Hotel Keeper	50,000	10,000	60,000	
E.A. Handee	Builder	60,000		60,000	
J.G. Brown	Gentleman	50,000	10,000	60,000	
Samuel Christ	Lumberman	35,000	20,000	55 , 000	
J.F. Sloan	Cabinetmaker	25,000	27,000	52,000	
H.A. Vandyke	Contractor	50,000	1,000	51,000	}
A.C. McKinney	Lumberman	40,000	10,000	50,000	

Table 7: Wealthiest Individuals, Lock Haven Borough, 1870. Source: U.S. Census

Figure 3. Street Plan for Lock Haven and Lockport. (Compare with Figures 4-9 for Locations).

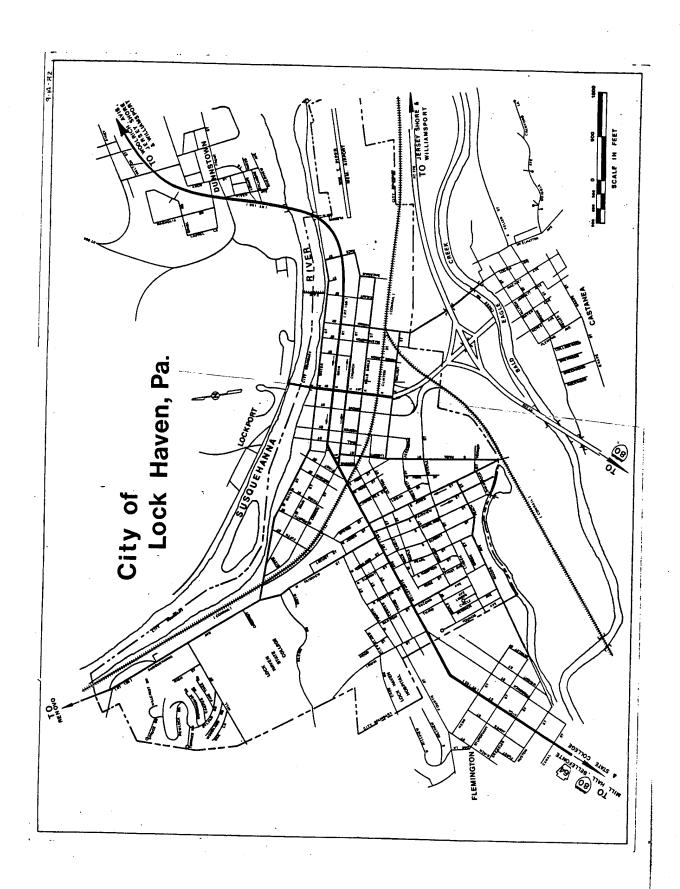


Figure 4. Distribution of the Residences of Unskilled Workers in Lock Haven and Lockport ca. 1870.

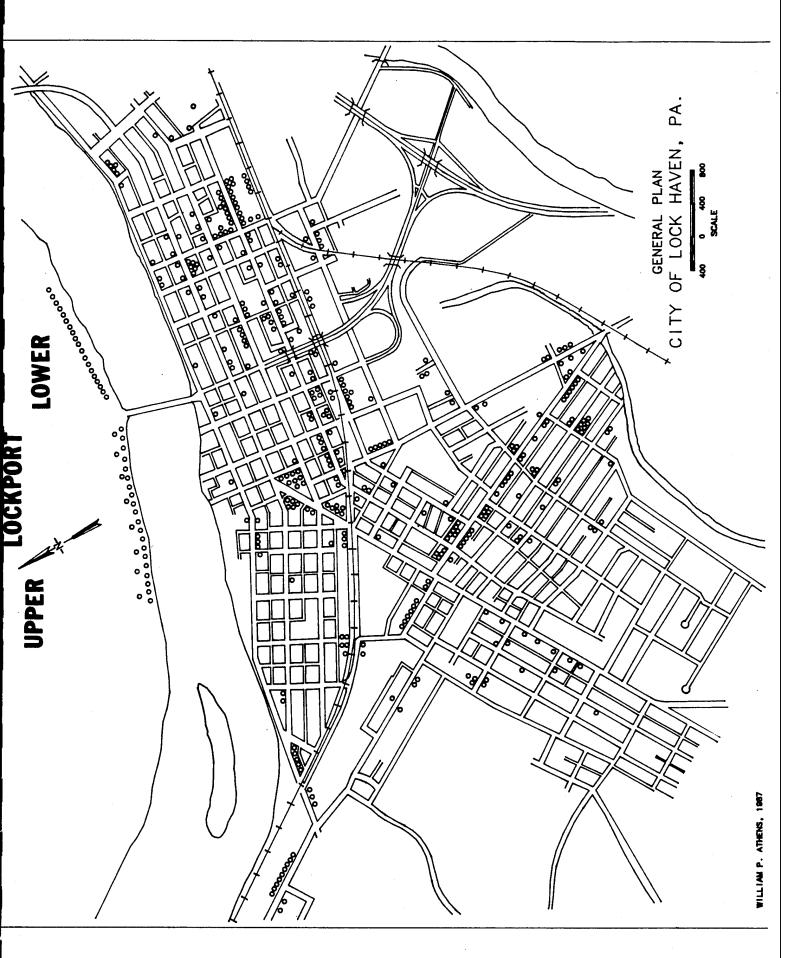


Figure 5. Distribution of the Residences of Semi-Skilled Workers in Lock Haven and Lockport ca. 1870.

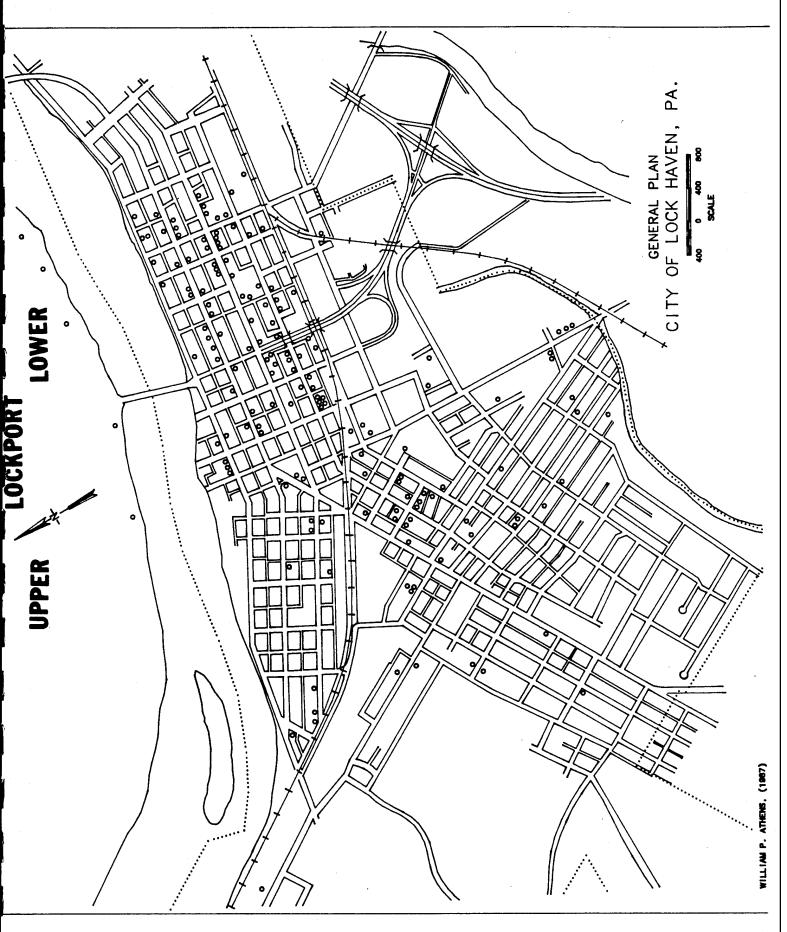


Figure 6. Distribution of the Residences of Skilled Workers in Lock Haven and Lockport ca. 1870.

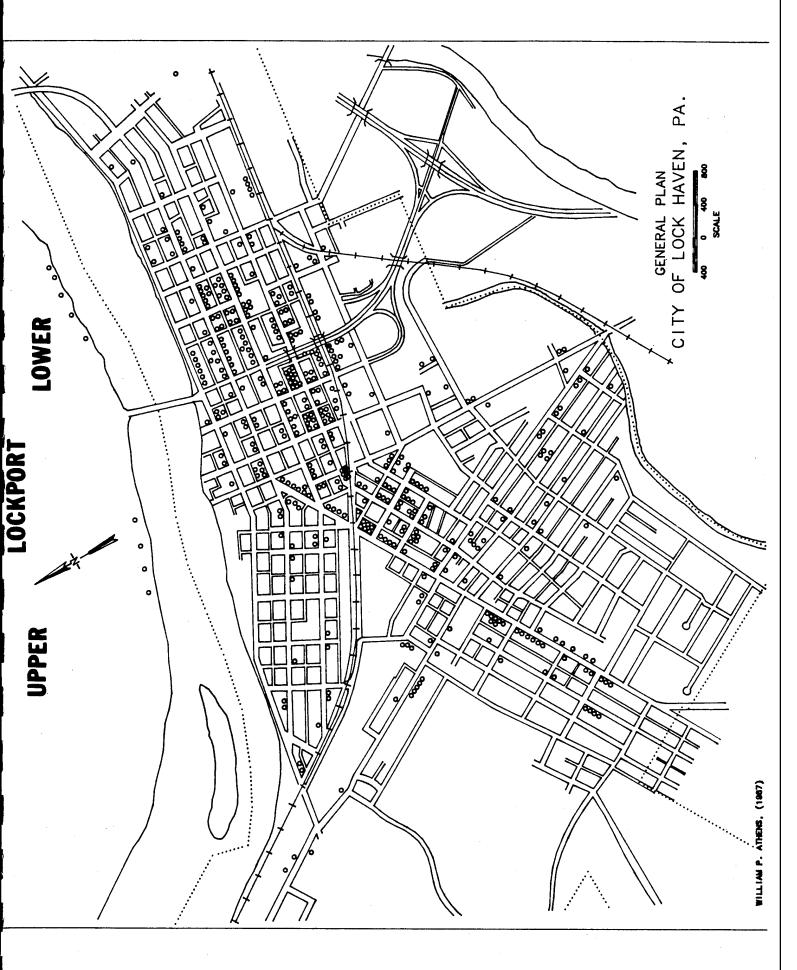


Figure 7. Distribution of the Residences of Shopkeepers and Clerical Workers in Lock Haven and Lockport ca. 1870.

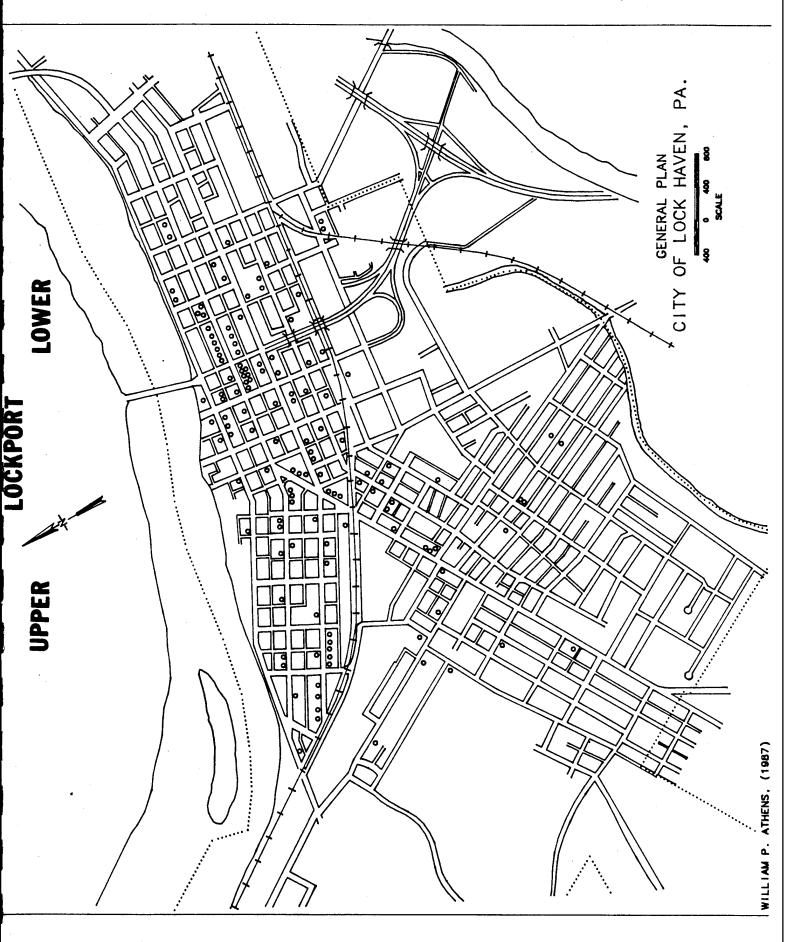


Figure 8. Distribution of the Residences of Low White Collar Professionals in Lock Haven and Lockport ca. 1870.

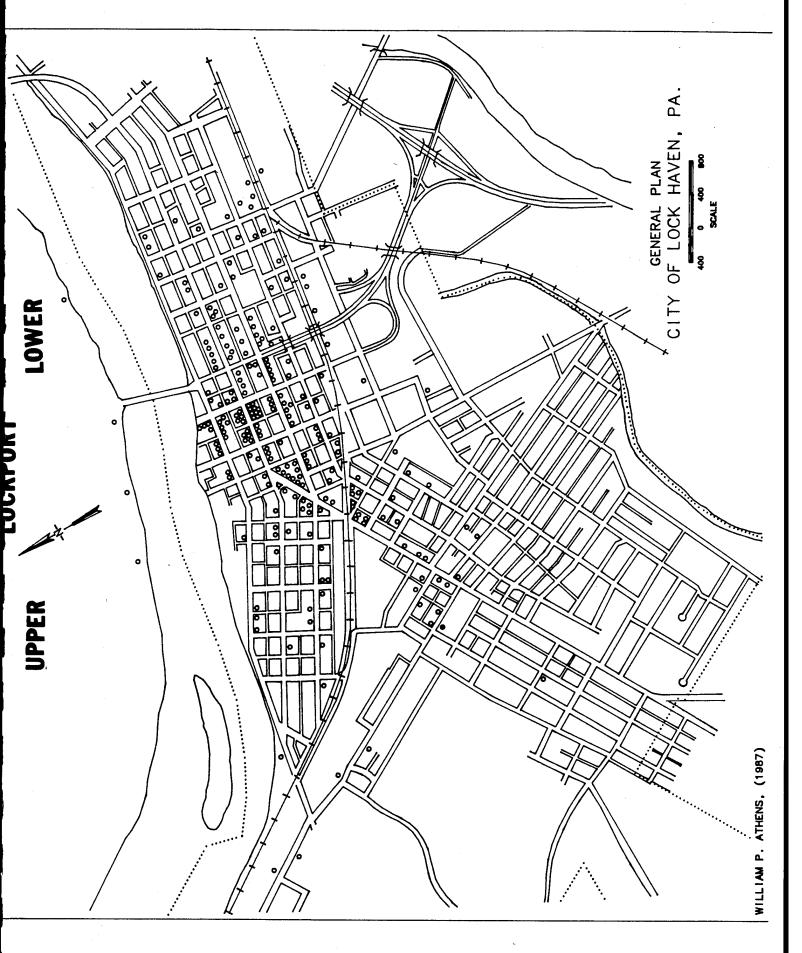
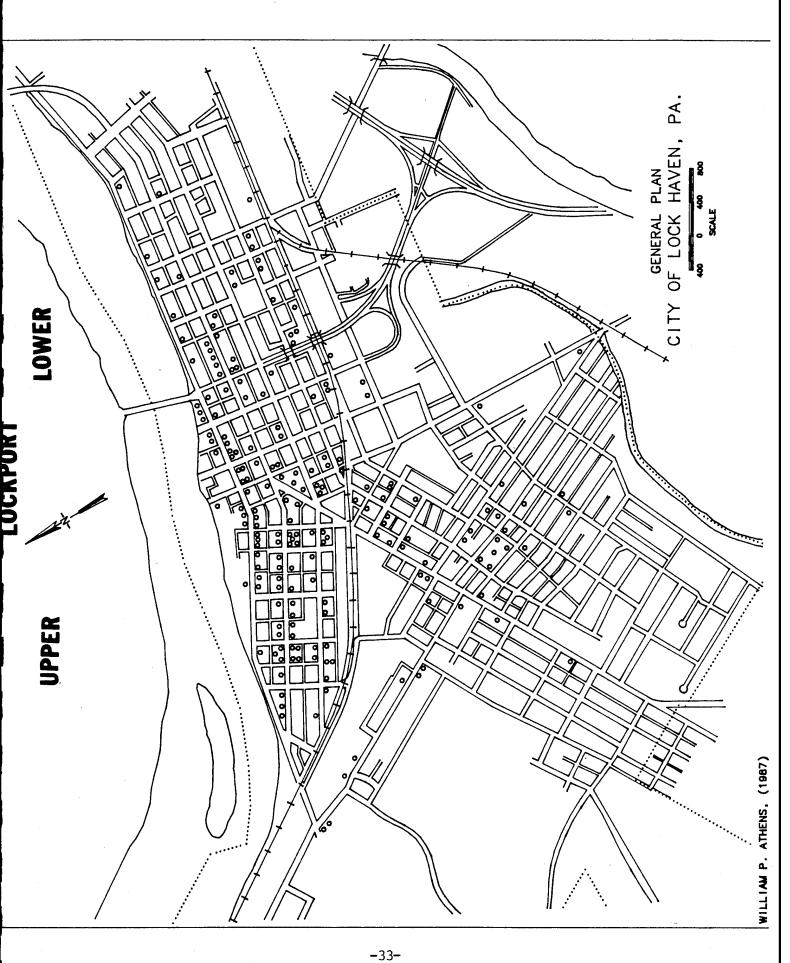


Figure 9. Distribution of the Residences of Upper White Collar Professionals in Lock Haven and Lockport ca. 1870.



Many times families were boarders, often living in a relative's household. It was not uncommon for many workers with similar occupations to all lodge in a single household. Lock Haven's many hotels were also filled with boarders from a variety of social levels or occupations, though much of their business seems to have come from clerks and transient skilled workers. Occasionally, there were families boarding in the hotels, but the vast majority of the hotel clientele was composed of young single males.

The lumber industry created the fortunes of Lock Haven's leading families at the same time that it provided work for hundreds of skilled, semiskilled, and unskilled workers, many of whom lived at a bare subsistence level. Hundreds of other individuals were indirectly dependent on the lumber industry, working in retail trades, construction, or other activities extremely sensitive to flucuations in the economic "health" the Lock Haven lumber industry. The lumbermen, along with other manufacturers, professionals, and large merchants dominated Lock Haven economically, socially, and politically for most of nineteenth century, while the bulk of the population was divided between a substantial floating class of propertyless workers and another substantial group who managed to acquire sufficient property and/or social status to rank one or more steps above It appears that there was a strong correlation between occupation and economic level, and between economic level and place of residence. It often would be possible to predict an economic status on the basis of his individual's background, occupation, or place of residence. Thus nineteenth century Lock Haven can be viewed as an economically stratified, and socially well-defined society.

The patterns established during Lock Haven's most prosperous period persisted long after the flood of 1889 dealt the final blow to the already collapsing and dying lumber industry. The industry had been ailing economically for some time because of clear cut lumbering practices which hastened the depletion of the timber resources along the West Branch, and because of periodic disastrous floods which together helped to create a series of deepening business and industrial recessions (Miller 1966; Deans 1979). Most of the local mills already had been closed when the lumbering at former peak levels (ca. 1849-1889) impractical (Dashiell et al. 1985).

Following the demise of the lumber industry, several of the other industries established in Lock Haven only after 1850 continued to prosper and develop. The local economy became more diversified, as the existing ironworks, planing mills, furniture factories, and tanneries were joined by new enterprises, which were eventually to become the area's most important employers in the twentieth century. These included the Queen's Run Fire Brick Company, the Lock Haven Silk Mill, and the Pennsylvania Pulp and Paper Company. Although the "golden era" had passed, there continued to be an abundance of opportunities for skilled,

semiskilled, and unskilled workers. Lock Haven's diversified and newly prosperous industries provided a reasonably stable economy for much of the twentieth century, especially after the arrival of Piper Aircraft Corporation in 1937 (Dashiell et al. 1985).

The city's geographical expansion in the twentieth century has continued to be toward the southwest; and to a surprising extent, the residential areas of today are much the same as they were in 1870, although industrial development has filled in much of the formerly open area between the center of the city and Bald Eagle Creek to the south.

Lock Haven Airport Extension and Clearance Zones

Very little relevant historical information currently exists in the form of textual or documentary sources for this area. This apparently indicates that its utilization or occupation was relatively minimal or sparse before the twentieth century when compared with other portions of Lock Haven situated to the west. For much of the nineteenth century, this area probably was cultivated, if it was in use at all. For the better part of the early and mid-nineteenth century, this tract of land was located just outside of the Lock Haven Borough limits and there appears to be no good evidence of any residential activity prior to about 1870 (Greevy and Renner 1874). An examination of an 1862 map of Clinton County indicates that there was a large fairgrounds situated in the general vicinity of this tract of land (Walling Map 1862).

Even at a slightly later point in time, the 1874-75 city directory suggests that settlement was still quite sparse to the east of Hanna Street. This settlement consisted only of a few scattered houses, situated primarily along East Bald Eagle Street. By 1895, however, there were additional settlements along East Bald Eagle Street, and a few houses in the 800 blocks of East Main and East Church Streets (Thornton and Xander 1895; and Sanborn Map 1896). In both 1875 and 1895, these neighborhoods were occupied predominately by laborers, teamsters, and other individuals with relatively low-ranking occupations. Ranking here was measured primarily on the basis of economic (i.e., property value and income) and social status. Deans (1986) notes that this area was part of Proctor Myers' second addition to the city, having been added to Lock Haven around the turn of the nineteenth century.

Limited residential or commercial development of the airport study area continued through the early twentieth century, while the heaviest development did not occur until the period immediately preceding World War II and following the relocation of Piper Aircraft in Lock Haven after 1937 (Deans and McMinn 1986). From the current available evidence, it appears likely that the houses presently standing within the study area were in respective lots.

Flemington Borough

Although scattered settlement occurred in the Flemington area as early as the eighteenth century, as a village Flemington did not exist until the 1830's. It grew and developed around the terminus of the Bald Eagle Cross-Cut Canal, which was completed in 1834. Flemington originally served as an agricultural center goods were transferred to canal boats after where transported overland by wagon or by boat along Bald Eagle Creek. After the completion of the Bald Eagle and Spring Creek Canals to Howard Furnace in 1837 and to Bellefonte in 1847, the Cross-Cut Canal became a key transportation link between more southerly and westerly areas of Clinton County and the West Branch Canal, making Flemington's location even more strategic from a trading and industrial manufacturing perspective (Clinton County Historic Sites Survey 1984).

Nevertheless, Flemington grew only very slowly and consisted of only six houses and a few stores in 1848 (Africa 1883). Most of its growth in population and commerce occurred in the late nineteenth and early twentieth centuries. Flemington's location was attractive for industrial development because of its ready access to a couple of significant transportation systems. The building of canal boats was the first important industry, followed by the establishment of a foundry in 1858 and a sawmill and grist mill by 1862. By approximantely 1883, Flemington contained four sawmills and one flour mill, and supported numerous carpenters, blacksmiths, and shoemakers to name only a few of the available trade and retail occupations (Linn 1883).

Ethnically, Flemington was extremely homogeneous, with about 90% of its 1870 population being native Pennsylvanians. It contained no blacks in 1870, and only a handful of Germans and Irish (see Table 2). It generally lacked the kind of economic elite or upper class that so dominated Lock Haven, and the vast majority of its residents were skilled or unskilled manual workers. Table 5 shows that a significantly larger percentage of Flemington's workers fell into the middle ranges of the regional economic hierarchy than was the case in Lock Haven, Lockport, or Woodward Township, generally (U.S. Census 1870; Greevy and Renner 1874; and Thornton and Xander 1895). Tables 8 and 9 list the workers who were living in Flemington in 1874 and 1895. Among other things, it can be noted that the two lists reflect the decline of the lumber industry in the 20 year interum.

The nineteenth century residential settlement of Flemington was clustered predominately along Canal and High Streets in the vicinity of the Cross-Cut Canal. Industrial development also occurred along the canal as well as on Huston Street (Richie and Stranahan Map 1869; Greevy and Renner 1874). After the canal was abandoned, the result of the flood of 1889, Flemington eventually became a residential and partly commercial and industrial suburb of the city of Lock Haven. Flemington residents found it easy to commute to work in Lock Haven, particularly after trolley service linked the two areas in 1894 (Deans and McMinn 1986). Flemington

Table 8 : Flemington Workers, c. 1874 (Greevy & Renner 1874).

Manufacturer: 4 Carpenter: 24 Hotel Keeper: 1 Blacksmith: 3 Gentleman: 4 Boat Builder: 2 Minister: 1 Wagon-Maker: 1 Physician: 1 Coachmaker: 1 Teacher: 1 Plasterer: 2 Druggist: 3 Painter: 1 Agent: 2 Cooper: 1 Clerk: 4 Millwright: 2 Bookkeeper: 3 Moulder: 2 Grocer: 5 Machinist: 1 Misc. Merchants: 5 Miller: 2 Huckster: 2 Baker: 2 Constable: 1 Butcher: 2 Ward Supervisor: 1 Sawyer: 4 Justice of the Peace: 1 Saw Filer: 3 Farmer: 3 Log-Driver: 2 Dairy: 3 Lumber Sealer: 1 Tailor: 3 Lumberman: 2 Seamstress: 5 Raftsman/Boatman: 3 Shoemaker: 5 Pilot: 1 Hair Weaver: 1 Teamster: 3 Tanner: 1 Pressman: 1 Cook: 1 Fireman: 2 Domestic: 11 Shingle Mill: 1 Apprentice: 2 Laborer: 65

Table 9 : Flemington Workers, 1895 (Thornton & Xander 1895).

Contractor: 1 Carpenter: 19 Hotel Owner: 1 Blacksmith: 5 Minister: 1 Chair Maker: 1 Physician: 1 Wheelwright: 2 Student: 3 Millwright: 3 Druggist: 1 Plasterer: 1 Agent: 3 Painter: 3 Clerk: 4 Moulder: 1 Bookkeeper: 3 Machinist: 1 Merchant: 8 Miller: 5 Huckster/Salesman: 5 Baker: 1 Postmaster: 1 Butcher: 5 Constable: 1 Axe Maker: 1 Musician: 1 Broom-Maker: 1 Farmer: 2 Printer: 1 Engineer: 3 Saddler: 1 Lumberman: 1 Tanner: 5 Car Inspector: 2 Tinner: 1 Cigar Maker: 3 RR Fireman: 2 Teamster: 3 Saw Filer: 3 Cook: 1 Lime Burner: 1 Barber: 2 Laborer: 83 Seamstress: 4 -37-Domestic: 5

retains this suburban and generally subordinate character even today.

Lockport

Like Lock Haven and Flemington Borough, Lockport's initial and early growth was due to the development of lumbering and the construction of the canal system along this portion of the West Branch of the Susquehanna River. The nearby town of Dunnsburg (now Dunnstown) was laid out in 1792, and an alcohol still had operated at Reeds Run at about 1800. However, the initial development of Lockport did not actually begin until the West Branch Canal was completed in 1833. The site of the town of Lockport was part of the Nathaniel Hanna farm. Hanna, it would appear had anticipated its commercial potential when he built the Hanna Hotel in 1834. Another hotel, the Woodward House, opened shortly thereafter in 1847. Both of these facilities served to accommodate the transient workers, laborers, raftsmen, lumbermen who frequently worked and/or passed through this portion of the West Branch (Linn 1883).

A settlement soon grew up around the two hotels and around the canal lock situated at the far eastern end of Lockport. The "Western Addition", or Upper Lockport, was laid out in 1855. Around this same time, a new Hanna Hotel was constructed in Upper Lockport in 1860 to replace its predecessor in Lower Lockport, which had been destroyed by fire in 1858. By the 1870's (see Figures 10-11), both segments of Lockport contained 49 houses, two hotels, one store, two blacksmith shops, and approxiamtely 275 residents (Linn 1883; Furey 1892).

Lockport's prosperity was apparently tied to the lumber industry of the West Branch to an even greater degree than was that of Lock Haven. Lockport was the head of market for square timber trade on the West Branch, and the buying selling of lumber was its principal business (Greevy and Renner Every raft which floated down the West Branch had first 1874). to pass through the chute in the dam at Lockport. Even after the completion of the West Branch Boom in 1849, rafting remained central to the economy of Lockport. It was estimated that the Hanna Hotel in Upper Lockport once hosted 1400 lumbermen/raftsmen in a single day, and approximately 20,000 in one rafting season; while the Woodward House entertained between 12,000 and 25,000 persons in a single season. The majority of these boarders were memebers of lumber-related trades. When as many as 2800 rafts made the trip down river in one season, the lumber industry meant prosperity to Lockport (Linn 1883).

Although, the census records and other documentary sources indicate that relatively little of this economic prosperity filtered down to the majority of Lockport residents, it appears that most occupants of Lockport were able to afford their own modest homes, and maintain a relatively low frequency of boarders. In a few instances of boarding, these residents

Figure 10. Distribution of Households in Lower Lockport ca. 1860-1870.

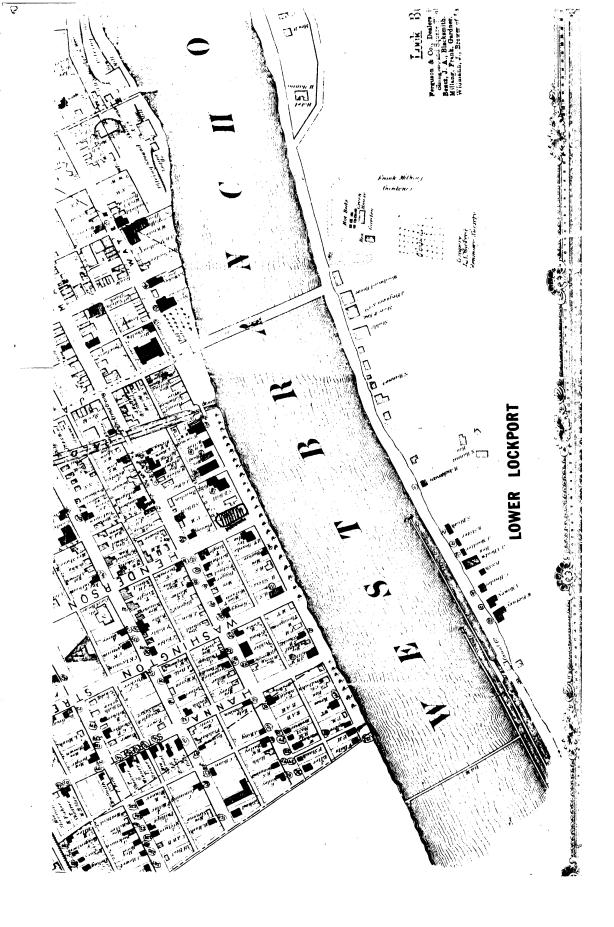
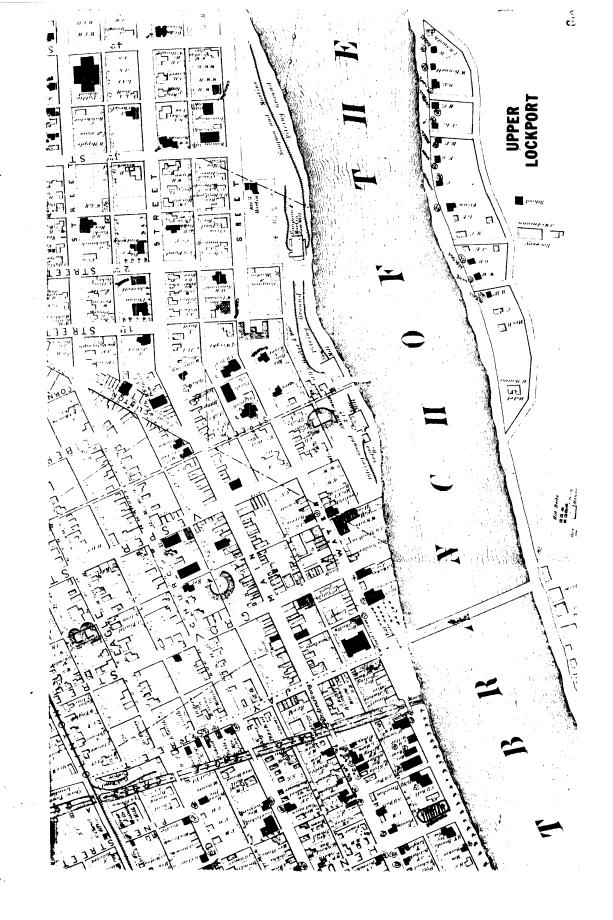


Figure 11. Distribution of Households in Upper Lockport ca. 1869-1870. Note the Locations of Three Sawmills on the Opposite River Bank in Lock Haven.



The state of the s

apparently lived in a relative's home. But while a few residents, such as the merchants, John Ferguson and Lewis Hoover, Joseph Hanna, and Jacob Widmann, brewery owner, were economically, the vast majority of Lockport's successful occupants apparently lived at only a subsistence level. residents worked as laborers, although a few skilled workers and blacksmith also lived in Lower Lockport (U.S. Census Greevy and Renner 1874). These residential/occupational patterns seem to have persisted throughout the nineteenth century. 10 and 11 list the workers indicated as living in Lockport by the time when the 1874 and 1895 directories were prepared.

Lockport was accessible from Lock Haven only by boat or ferry transport, until the first of several Jay Street Bridges was built in 1852 (Lock Haven Sesquicentennial Inc. 1983). From this time onward, it is probable that many Lockport residents worked in the city of Lock Haven, as job opportunities in Lockport must have been more limited in the face of a slightly growing population, and fewer commercial or industrial stores or plants.

By approximately 1892, Lockport contained about 65 houses and some 300 plus inhabitants, with one store, one blacksmith It was reported that the and one brewery also present. "June flood of 1889 did considerable damage to the town Lockport", and this must have had economic as well as physical ramifications, since the flood destroyed the canal as well as the last remnants of the lumber industry (Furey 1892). After this time, the only work directly in the town would have been at the The brewery was built Widmann Brewery in Upper Lockport. 1860 (Linn 1883) and continued to be a viable opened in industry throughout much of the twentieth century. Following the 1889 flood, Lockport was relegated to the status of a Lock Haven suburb, completely dependent on the larger city for nearly all of its necessary mercantile, industrial, and professional goods and services.

Monseytown/Water Valley Area

Development and settlement of the river flats west of Lockport have been relatively recent phenomena, occurring principally after World War II and, in particular, within the past 15 years. The tendency for this area to severely flood at regular intervals evidently has discouraged its utilization for purposes other than that of farmland. However, some of the earliest settlements or farmsteads in the Lock Haven/Lockport vicinity occurred on these fertile flood plains.

The rich bottom lands of the Monseytown Flats attracted settlers in the late eighteenth and early nineteenth centuries, all of whom established farms. Throughout the nineteenth century, there persisted a pattern of only a few scattered farmsteads across the entire area (Deans and McMinn 1986). An 1862 map displays five farmsteads (houses with a few

Table 10 : Lockport Workers, c. 1874 (Greevy & Renner 1874).

Merchant: 1 Carpenter: 2 Blacksmith: 1 Confectionary: 1 Hotel Keeper: 2 Shoemaker: 1 Lumber Inspector: 2 Brewer: 1 Log-Catcher: 1 Engineer: 1 Log-Floater: 2 Boatman: 3 Domestic: 3 Lumberman: 5 Clerk: 1 Teamster: 1 Farmer: 4 Gardener: 2 Seamstress: 2 Laborer: 36

Table 11: Lockport Workers, 1895 (Thornton & Xander 1895).

Merchant: 1 Carpenter: 3 Saw Mill Supt.: 1 Blacksmith: 3 Canal Supt.: 1 Shoemaker: 1 Brickworks Sec. & Treas.: 1 Brewer: 4 Timber Inspector: 1 Engineer: 1 Log Scaler: 2 River Pilot: 1 Clerk: 4 Mail Carrier: 1 Farmer: 1 Gardener: 1 Seamstress/Tailoress: 3 Laborer: 49 Tanner: 1 Bar Clerk: 4

accompanying outbuildings), all situated adjacent to the river (Figure 12); there were as yet no structures or roads depicted where the Farrandsville Road (State Route 18011) presently exists bordering these flats to the north (Walling Map 1862). Of these several early nineteenth century farmsteads, only the J. Hanna Stone Farmhouse (ca. 1813) survives today (compare Figures 12 and 13).

According to the available nineteenth century census records, several of these farmers owned sufficient property to place them within the upper stratum of Lock Haven society. All were native born Pennsylvanians (U.S. Census 1850 and 1870). Large households with many children and several boarders were the rule, a residential pattern with important historic archaeological implications which should be readily apparent within the discarded material remains presumably still present in a number of subsurface waste disposal facilities.

A few other farmsteads for which buildings and houses are still occupied and/or standing appeared just before and just after the turn of the twentieth century. These included: William Hanna House (also known as the Stern House) of circa. 1880; the Issac Packer Farm (ca. 1885); and the Samuel Probst 1918). The latter two farms, and several contemporary rural complexes were built near or adjacent to the Farrandsville Road. There appears to have been little, if any, subsequent development along the river following this turn of the century period until quite recently. Figure 14 illustrates a close-up view of two rural Woodward Township farmsteads taken ca, Note that the Packer Farmstead is extant while the A. Smith Farmstead is gone and would appear to be situated near the present location of several Water Valley trailers or cottages. Phase I archaeological investigations may be able to to some remnants or features associated with this later farmstead.

Major development or building was again commenced along the river in the Monseytown and Water Valley areas about 1950 and has continued sporadically up to the present. Today, most of the standing structures clearly date to this latest period of construction. Many of the surviving dwellings are mobile homes brought into the area after the 1972 flooding created by Hurricane Agnes (Deans and McMinn 1986).

Most of the bottomlands of Monseytown and Water Valley continue to be farmed today, just as they were for the past 200 years with only technological improvements in the methods of farming. Historically, settlement has been confined to two spatially discrete areas which are located on the relatively narrow levee zone immediately adjacent to the West Branch or well back from the river along the south side of the Farrandsville Road. Presumably, these two stretches of flood plain are situated in the better drained, more permeable soil zones slightly elevated from the surrounding bottom land terrain. Twentieth century industrial/commercial activity has been limited to a lime kiln and sawmill (Deans and McMinn 1986). The sawmill

Figure 12. General Locations of Five Farmsteads in Rural Woodward Township ca. 1860-1870.

1) N. Welsh Whitehouse 4) J. Hanna (McGill) 2) A. Smith 5) J. Hanna

2) A. Smith

3) S. Welsh

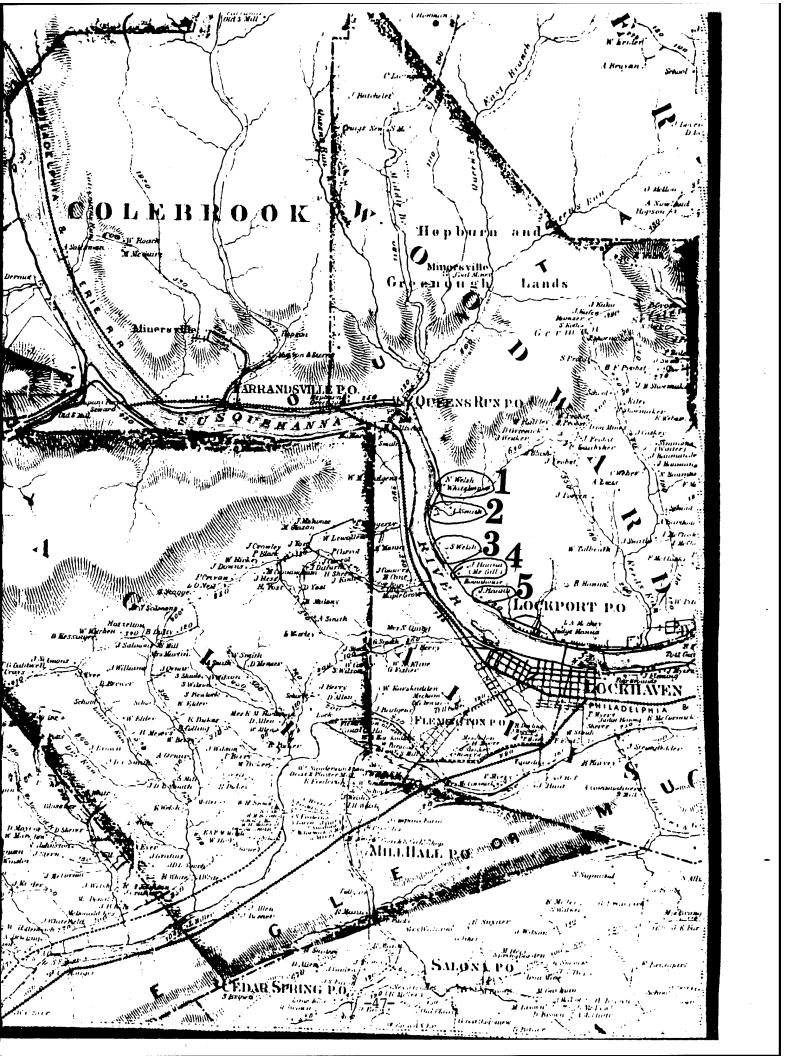


Figure 13. General View of Lock Haven and Woodward Township. Note the Following Historically Sensitive Areas:

- A) Packer Farmstead
- D) Stern Farmstead
- B) Probst Farmstead
- E) Hanna Farmstead
- C) Spangler Property F) Lock Keeper's House

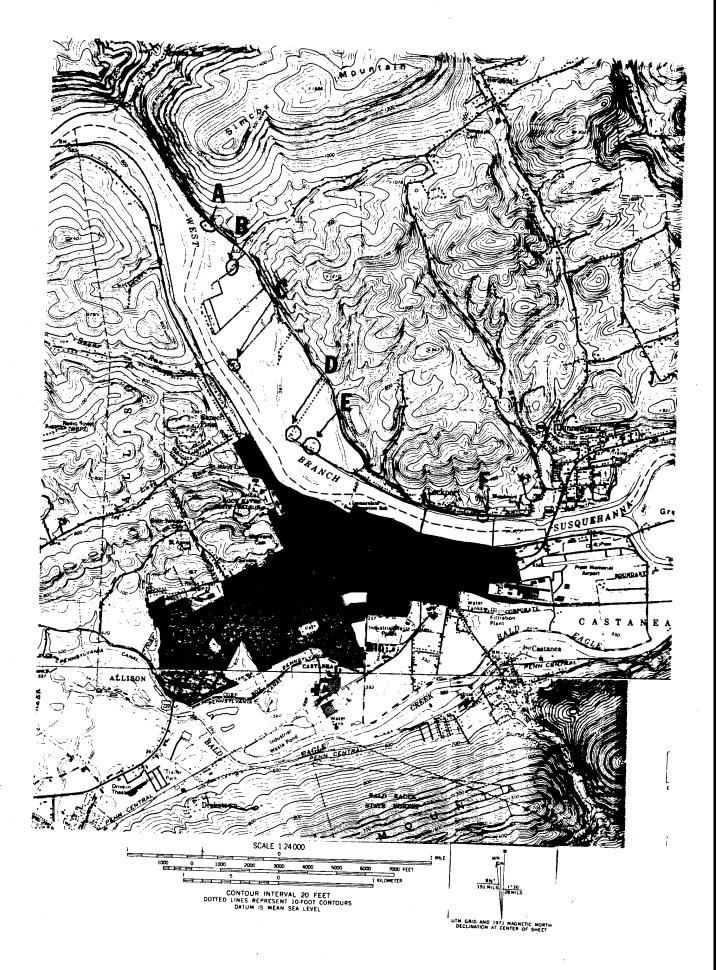
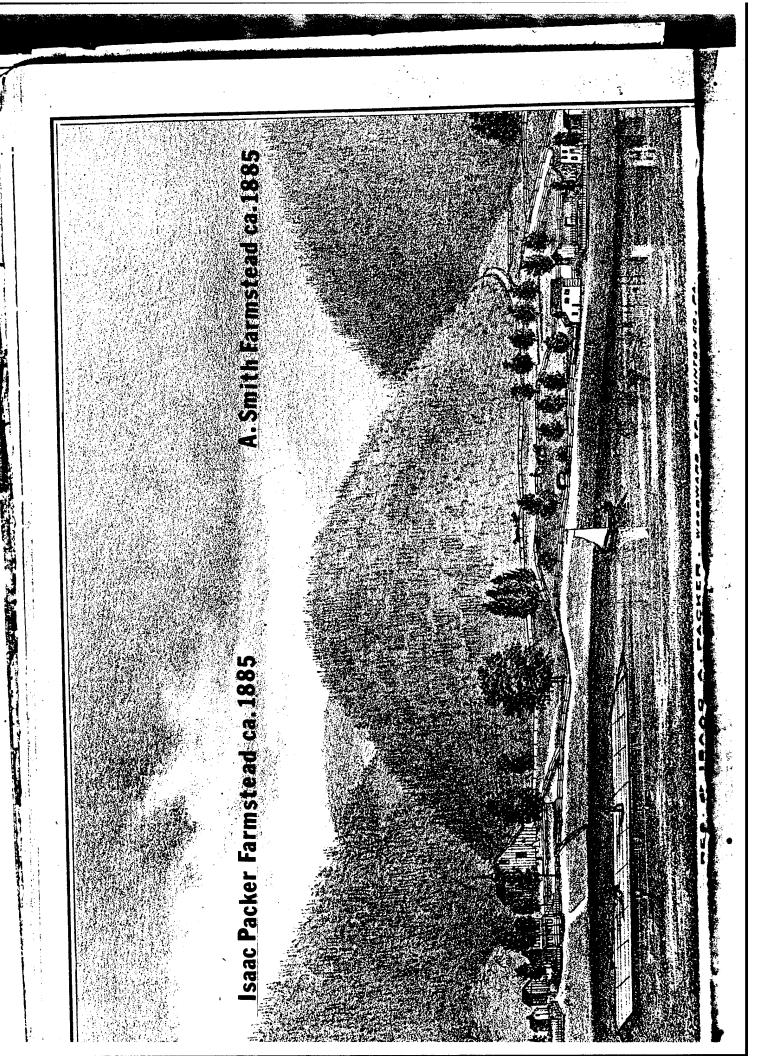


Figure 14. Illustration of the Isaac Packer and A. Smith Farmsteads in Woodward Township ca. 1885.



which opened in the 1950's is still in operation, a throwback, reminiscent of the "golden period" of Lock Haven's once prosperous lumber industry. Other recent dwellings not associated with working farms in Monseytown and Water Valley generally function as seasonal cottages or house residences of commuters who work in or around the Lock Haven area.

RESEARCH QUESTIONS AND TOPICS FOR THE LOCK HAVEN STUDY AREA

In general, Lock Haven appears to be representative of the group of nineteenth century towns and cities that grew and developed along the West Branch of the Susquehanna River after the construction of the Pennsylvania Canal and which were closely economic patterns established for Lock Haven and its surroundings in the nineteenth century may have been typical of those of other towns as well; therefore, research questions and topics that can be addressed here with data derived from a select sample of spatially and temporally diverse historic archaeological excavations would have potentially broader applicability to many of these other lumber towns.

A series of historical research questions and topics has been defined that might be addressed through these historic archaeological investigations in areas to be affected by the Flood Protection Project. It should be noted that actual data collection for most of these questions or topics can best be dealt with in a meaningful way at the more intensive and extensive Phase II and III levels of investigation. The purpose of Phase I investigations would be to locate and identify through survey, limited testing and documentary review/archival searches specific sites or features among all those that are to impacted which would be the most likely to yield significant and relevant evidence within the research oriented framework that has been developed here.

The first historical topic relates to Lock Haven's strategic location which gave its residents access to a succession of transportation systems, ranging from the river itself to the canals, the railroad, and finally the modern highways and local airports. A research area of considerable importance would involve the study of the ways in which successive improvements in transportation and, by extension market accessibility, reflected in the kinds of available consumer goods and in overall material culture of the local residents. Investigations into this question would entail the excavation and analysis stratified deposits of cultural materials around households, hotels, and stores. Phase I investigations would therefore be designed to locate wells, privies, refuse middens, and other relevant features that would be expected to yield an appropriate amount of significant evidence and relevant information. Only limited excavations (shovel test pits and/or 1m x 1m test units) these features would be conducted at this Phase I stage of order to establish their integrity and obtain preliminary information pertaining to their chronological context.

It is expected that the J. Hanna Stone Farmhouse in the Monseytown area and the Lock Keeper's House in Lower Lockport would be ideal properties on which to investigate the question of changing market access in the Lock Haven area, as their occupation has continued through most or all of the successive transportation system alterations. Because of their commercial

nature which involved the importation and/or use of large quantities of consumer goods, it also is anticipated that the Hanna Hotel site in Upper Lockport and the Jacob Grafius House and Store in Lock Haven may be ideal for examining this topic.

A second and related historically significant question or topic involves the delineation of possible differences material cultural remains and, by association lifestyles lifeways, between contemporary nineteenth century urban and rural households in Lock Haven and Woodward Township that fall the Project study area. It is likely that urban households may have had greater or more frequent market access to both durable and non-durable goods and may have displayed wants and needs that in many instances were different from those of rural households. aspects of the overall lifestyles of urban and rural Generally, (as reflected in their material cultural households could be compared and contrasted. Intact deposits of historic cultural remains or features at both urban and rural sites should Ideal sites for this research topic, among those to be sampled. adversely affected by the Flood Protection Project, include the J. Hanna Stone Farmhouse and/or the Packer Farmhouse, examples of rural households, and the L.M. Mackey House and selected Lockport sites, as examples of urban households. Further, an examination of selected households in the Airport Clearance Zone might generate information about the lifestyles and lifeways of persons or groups living on the periphery of the urban area.

socioeconomic status of historic site inhabitants often is reflected archaeologically as income and social needs tend to influence consumer choices and purchase power of durable goods. part because nineteenth century Lock Haven was a highly stratified society, an important third historical research topic the investigation of archaeological reflections of invloves status which have considerable research potential here. expected that documentary evidence of social and economic stratification will correlate with archaeological evidence. Several questions or topics could be addressed with regard to this research topic as a result of historic evidence recovered during Phase I investigations. First, a useful methodological exercise would entail comparing and contrasting a household's documented economic and social position with its discarded goods through techniques such as the Miller Ceramic Index. quantitative measure can be utilized to ascertain the relative value of a ceramic assemblage, which can be compared to the documented socioeconomic status of its owner (Miller 1980).

More importantly, assemblages of recovered artifactual remains could be compared between households. For example, since the L.M. Mackey family represents the upper level of Lock Haven society, it would be expected that there would be distinct differences between the Mackey Household's discarded consumer goods and those of skilled workers or unskilled laborers in Lockport and the Airport Clearance Zone. Further comparisons could be drawn between the discarded refuse of Jacob Widmann

(Widmann-McGill House in Upper Lockport) who owned the Lockport Brewery and the material remains of one or more of his employees, many of whom lived in Upper Lockport. Again, Phase I testing would attempt to locate and identify features most likely to contain useful and significant data such as wells, privies, or refuse deposits. Subsurface architectural remains and/or features may also shed additional light on the problems of sorting and identifying socioeconomic status differences between several contemporaneously occupied areas in and around Lock Haven and Lockport.

fourth historical reaearch topic and related to status/lifestyle topics would be the investigation of patterns among nineteenth century residents of the Lock Socio-economic differences among households study area. are reflected in discarded faunal and/or floral remains, investigation of diet might shed some light on the general lifestyles of particular households or neighborhoods. Possible dietary differences between urban and rural households in the Lock Haven area could be examined. Changes over time indicative market or pricing conditions could also variable Ideal sites for studying these dietary topics investigated. would include several of the domestic sites previously mentioned An historic dumping area like the well as the Hanna Hotel. Green may also provide a broad picture of kinds and quantities of consumer food elements present in the diets of nineteenth century Lock Haven residents.

research area consists of questions or topics A fifth pertaining to Lock Haven's heavy industry character and make-up, as well as the associated technology of its most important Specifically, this would involve an oncommercial industries. site examination of the probable locations of three sawmills and a brick factory, all situated along the south bank the West Branch (areas now including backyards or open lots except for the brick factory which lies beneath the Immaculate Heart School and parking lot) on the north side of Water All of these industrial sites will be subject to direct adverse Phase I investigations would be intended to determine, impact. via shovel probe tests, 1m x 1m test units, and/or backhoe trenches, if there are any intact subsurface architectural remains still present, and whether these would be likely to yield significant new information. At a later stage of intensive investigation or data recovery, the excavation and graphic illustration of these features might be important for examining facets of sawmill and/or brick production technology for which information is unavailable in recorded or published sources. Investigation of these heavy industries, if practical, focus on technological processes.

The Phase I investigations in general would be extremely useful for examining land-use patterns on specific properties, as well as for delineating changes in land-use patterns over time. A sixth historical research topic entails the investigation of the manipulation of domestic space on a residential house lot or

farmstead which can provide insights into a landowner's owner's social and economic position, household strategies, and even world view or outlook, as well information pertaining to changes in household or farmstead composition and internal economics (Stewart-Abernathy Beaudry 1986). Additionally, the examination of the locations of outbuildings, including wells, springhouses, privies, barns, and sheds or carriage houses, as well as the documentation of general trash disposal patterns all contribute to the accumulation of the site formation data base. Significant data could be generated by comparing rural patterns of land-use with those common in urban and by developing a model or models of land-use and contexts, feature association and location within each setting. The data could be compared to existing models of land-use. An advantage of investigating this group of topics is that it would be effective, as it would not involve artifact analysis. In fact, much of this research could be accomplished exclusively through more extensive and intensive documentary research, informant interviews, and on-site inspection and mapping.

Though by no means exhaustive, each of these research questions or topics represents a area of intense interest within the field of historical archaeology. Data will likely generated for each area and/or topic as a result of the various and necessary levels or phases of archaeological investigation anticipated for the Lock Haven Flood Protection Project study The level of archaeological field investigations (Phases areas. conducted within the Project study area will ultimately I-III) depend upon a clear determination of the location and extent of undisturbed and potentially significant historic cultural resources which can be placed in some kind of historical temporal context. As indicated, where the appropriate data are preserved intact, the investigation of each of these topics could conceivably provide significant new information on both regional as well as a national level, and would place the among those in the forefront of current historic archaeological On a more local level, the investigation of these research. questions or topics will illustrate many of the important aspects of Lock Haven's historic past, ultimately, revealing social and economic patterns crucial within the context of the city's origination, development and evolution.

RESEARCH STRATEGY

In order to address most of these research questions topics it will be necessary to locate a sufficient number artifact-bearing features, in particular, wells, privies, refuse deposits in direct association with households, or lots for which adequate documentation exists. This documentation should attempt to place a particular resident or area user refuse at a certain time period. their discarded establishment of historic context between refuse and owner/depositor must be ascertained. This can be accomplished through a more intensive strategy of documentary and informant interviews, research, cartographic review,

subsurface testing and probing. In some cases, it may be necessary and important to expose buried architectural remains that bear on one or more of the research topics. This can simply be done by expanding one or more of the shovel test probes.

Although municipal water and sewer services were available in many parts of Lock Haven, including the Water Street area, by ca. 1900 or earlier, an examination of the implementation of city ordinances indicates that some wells and privies continued to be used along Water Street and elsewhere as late as the 1930's (Lock Haven City Ordinances 26,38,85,105, and 155). In Lockport, sewer service was not available until the 1950's (Marcinkevage, pers. comm. 1987). Therefore, most properties should contain features with artifactual remains dating from the nineteenth century through much of the twentieth century. The nature and character of the specific contents of each will depend upon the total length of use as well as the nature and period of construction.

In order to identify an adequate number of features likely to yield significant information, it will be necessary to conduct Phase I investigations on numerous properties in Lock Haven, Lockport, and Woodward Township. All properties to be impacted by the project should be examined to a greater or lesser extent in order to determine whether further investigation would be likely to yield significant new information. As a result of additional archival and documentary research, it is probable that some properties or features which are present in the study area may be determined as unlikely to generate significant data in terms of the proposed research questions and topics, and would, if at all, be subject to much more limited documentation and/or testing.

It is proposed that, at a minimum, the following areas A more detailed plan of work and property be tested. selection will be prepared by the contracting agency (the Baltimore District, Corps of Engineers) and the Pennsylvania State Office of Historic Preservation. In Lock Haven, include the Mackey House rear yard, the area around the Wharf (i.e., the Green), the back and side yards Steamboat well as beneath the last two twentieth century additions of the Jacob Grafius House, a small stone foundation east of the Jay Street Bridge, and the sites of the three steam sawmills and the Finally, in Lock Haven proper, Queen's Run Brick Factory. testing should be conducted in the locations of former nineteenth century properties along the intended impact zones on Mill Street and in the Airport Clearance Zone.

In Lower Lockport, all of the properties that compose the eligible historic district and that will be adversely affected by project-related road relocation and recreation area construction or flood mitigation should be tested in order to identify potentially significant features. In Upper Lockport, the site of the Hanna Hotel and the four properties designated as potentially eligible for the National Register should undergo Phase I testing

to locate cultural features and/or architectural remains. four properties include the McGill-Widmann House, the James McGill House, the Baney House (William Bennett House), and the Alley House (Peter Sheadle House). In addition, a stratified sample of households shown on nineteenth century maps of Lockport determined to contain potentially significant, undisturbed historic period cultural remains through additional archival and documentary research should be tested during Phase I field investigations. The determination of the number and exact constituents of the population of sites to be examined in-thefield would first require this documentary research combined with on-site inspection to determine if any structures are presently standing or if they have been destroyed or removed by subsequent building or landscaping activities. The investigations in Upper Lockport should permit representative historical socio-economic differences, occupational differences, and/or ethnic differences be delineated in an archaeological context. The results of investigations then can be compared and meaningfully to results obtained from properties examined in Lock rural Woodward Township, and the National Register eligible properties in Upper and Lower Lockport.

Elsewhere in Woodward Township, it is proposed that several nineteenth and early twentieth century rural farmsteads be investigated in order to generate data useful in addressing research questions relating to non-urban lifeways. include the John Hanna Stone Farmhouse, the William Hanna Farmhouse, Probst Farmstead, and the Packer Farmstead. the Additional farmsteads that are no longer extant in Monseytown or Water Valley but which are displayed on nineteenth century maps of Woodward Township will require archival documentation followed I field testing to determine their significance or degree of disturbance. The extent to which Phase I field work will be undertaken here will depend ultimately on the nature of the historical remains combined with considerations of the nature of the demolition and relocation plans that will be implemented in the Monseytown and Water Valley induced flooding mitigation areas. It is anticipated that proposed additional historical documentation will be useful in determining the locations of associated structures that may be buried around the modern homes, cottages, and trailers presently situated on the sites of these former farmsteads. Informant interviews have indicated that a cemetery (either the Bartholemew or Smith Cemetery), dating to the early nineteenth century is presently located adjacent to and/or partially beneath the site of the Spangler Garage and Helicopter Hanger in Monseytown (Bartholemew, pers. comm. 1986). Should the nature of the demolition and/or relocation of houses presently located in Monseytown indicate that this cemetery would be impacted, then Phase I investigations should be undertaken in and around the Spangler property to locate any remaining grave sites.

In general, it is proposed that no Phase I testing be conducted for the purpose of exposing data from properties that were built after about 1900, as it is likely that the resulting

historic/recent period archaeological data would duplicate information from properties having continuous nineteenth through twentieth century occupations. Selected twentieth century properties should be investigated only inasmuch as they can generate significant or new information (i.e, the Probst Farm). At the Probst Farmstead, an investigation through a strategy of documentary research, on-site inspection, and informant interviews would be useful in addressing the research questions which compare twentieth century patterns of rural land-use to those which pertained in the nineteenth century (i.e., at the Hanna Farmsteads, or even at the Packer Farmstead).

In areas to be adversely impacted west of the Lock Haven Airport, it is proposed that testing be conducted around the four earliest nineteenth century houses which have been identified during Phase I architectural surveys. This testing would be intended to locate artifact-bearing cultural features associated with these houses. Additional documentary and archival research will be necessary to ensure the absence of any other early (i.e., nineteenth century) potentially significant historical properties or structures. Overall, investigations here would be expected to information about the material culture and land-use patterns of a working class neighborhood. These new data sets could be contrasted with results obtained for the Lockport which apparently occupied a similar socio-economic position, regards, to its economic character as well as its dependence on Lock Haven for commercial, industrial, and professional goods and

Finally, no Phase I historical field investigations are anticipated as necessary or useful in either Flemington Borough, Castenea Township, or Dunnstown. The location of the proposed levee, construction corridor, or flood mitigation zone will not impact any apparent significant historical resources in any of these project areas. The visual impacts expected to occur in Castanea Township and Flemington Borough will of course not affect archaeological resources, and in most instances can be mitigated by proper planning during levee design.

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High White-Collar Occupations

Attorney Banker

Builder/Contractor

Civil Engineer Clergyman/Minister

Corporation Official

Dentist Editor Government Official (upper ranks)

Hotel Keeper

Lumberman (Lumber Manufacturers

or Lumber Dealers)

Manufacturer

Pharmacist/Druggist

Physician Teacher

Low White-Collar Occupations

Accountant

Agent

Artist

Baggageman/Baggage Master

Bank Teller

Bookkeeper Cashier

Clerk Clothier

Dispatcher

Foreman

Government Official (lower ranks)

Grocer

Huckster/Peddler

Journalist

Misc. Merchants

Musician

Newspaperman/Reporter

Photographer

Railroad Conductor

Restaurant Salesman Saloon Secretary

Sportsman Storekeeper

Surveyor Tobacconist

Writer

Skilled Occupations

Baker

Blacksmith/Farrier

Boat Builder Boilermaker Bookbinder

Brewer Bricklayer/Mason

Butcher

Cabinet Maker
Carpenter
Carpet Weaver
Compositor/Printer

Confectioner

Cooper

Engineer (Locomotive or Stationary)

Engraver Gunsmith Harness Maker

Jeweler

Locomotive Fireman

Machinist

Mechanic Miller

Millwright Miner Moulder

Painter
Pavement Layer

Plasterer
Plumber
Potter
River Pilot

Saddler Sawyer Shoemaker

Stonecutter Tailor Tanner Tinner

Upholsterer Wagonmaker/Coachmaker

Watchmaker

Semi-Skilled Occupations

Apprentice Barber Bartender Cigar Maker

Cook

Deliveryman

Driver

Fireman (Stationary or City)

Janitor

Operative/Operator

Policeman

Railroad Brakeman Railroad Flagman Sailor/Boatman

Saw Filer

Soldier (exc. officers)

Teamster

Watchman/Guard

Unskilled Occupations

Gardener Laborer

Liveryman/Hostler

Lumberman (woodsman)

Porter Servant

Unclassified Occupations

Farmer Foundryman Works in Foundry

Works in Planing Mill Works in Mill Works on Railroad